



Express Mail No.: EL 477 036 912 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 28 2002

TECH CENTER 1600/2900

In re Application of: Srivastava

Confirmation No.: 8419

Application No.: 09/693,643

Group Art Unit: 1645

Filed: October 20, 2000

Examiner: Navarro, A.M.

For: USING HEAT SHOCK PROTEINS TO
INCREASE AN IMMUNE RESPONSE

Attorney Docket No.: 8449-073-999

FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$0.

The claim amendment fee has been estimated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY			OTHER THAN A SMALL ENTITY		
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE	
TOTAL	27	MINUS	155	=	0	× 9	\$ 0.00		× 18	\$	
INDEP.	1	MINUS	14	=	0	× 42	\$ 0.00		× 84	\$	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						140	\$		280	\$	
						TOTAL	\$ 0.00	OR	TOTAL	\$	

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.
A copy of this sheet is enclosed.

Respectfully submitted,

Date: June 18, 2002

Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

By: Geraldine F. Baldwin 31,232
Geraldine F. Baldwin (Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosure



Express Mail No.: EL 477 036 912 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Amtd
6/9
Under
RECEIVED

JUN 28 2002

TECH CENTER 1600/2900

Application of: Srivastava

Confirmation No.: 8419

Application No.: 09/693,643

Group Art Unit: 1645

Filed: October 20, 2000

Examiner: Navarro, A. M.

For: USING HEAT SHOCK PROTEINS TO
INCREASE AN IMMUNE RESPONSE

Attorney Docket No.: 8449-073-999

**RESPONSE TO RESTRICTION REQUIREMENT UNDER 35 U.S.C. § 121
AND PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the outstanding Office Action dated December 18, 2001, in which the Examiner imposed a restriction requirement, please consider the following amendments and remarks. Enclosed herewith are: (a) a Petition for Extension of Time for five (5) months, from January 18, 2002 up to and including June 18, 2002; and (b) a Fee Transmittal Sheet (in duplicate). Applicant concurrently submits a marked-up version of the claims as amended herein, attached as Exhibit A, and a clean copy of the claims as pending after entry of this amendment, attached as Exhibit B.

IN THE CLAIMS:

A clean version of each amended claim is set forth below and a marked-up version of each amended claim is attached hereto as Exhibit A. Matter that has been deleted is indicated by brackets and matter that has been added is indicated by underlining in Exhibit A.

Please amend the claims as follows:

Cancel claims 1-3, 5-8, 10-12, 14-16, 18-20, 22-24, 43, 45, and 48-81 without prejudice.